

Docket No. 4024-4028US1

Express Mail No. EV169421938US



27123

PATENT TRADEMARK OFFICE

↑ AFFIX CUSTOMER NO. LABEL ABOVE ↑

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND FEE TRANSMITTAL §(1.53(b))



Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s) names and addresses:

(1) Keith Kang
52 Buttonwood Drive
Hollis, NH 03049

(2) John Trezza
12 White Oak Drive
Nashua, NH 03063

☐ Additional inventors are listed on a separate sheet

For: OPTICAL FIBER FERRULE

Enclosed Are:

21 page(s) of specification
1 page(s) of Abstract
15 page(s) of claims
19 sheets of ☒ Formal ☐ Informal drawings
9 page(s) of Declaration and Power of Attorney

☐ Unsigned
☐ Newly Executed
☒ Copy from prior application
☐ Deletion of inventors including Signed Statement under 37 C.F.R. §1.63(d)(2)

☐ **REQUEST AND CERTIFICATION UNDER 35 U.S.C. §122(b)(2)(B)(i) (form PTO/SB/35)**

As indicated on the attached Request and Certification, Applicant(s) certify that the invention disclosed in the attached application HAS NOT and WILL NOT be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. Applicant(s) therefore request(s) that the attached application NOT be published under 35 U.S.C. §122(b).

☒ **Incorporation by Reference:**

☒ The entire disclosures of the prior applications, from which copies of the combined Declaration and Power of Attorneys are supplied herein, are considered as being part of the disclosure of the accompanying application and are incorporated herein by reference.

☐ **Deletion of Inventors (37 C.F.R. §1.63(d) and §1.33(b))**

Signed statement attached deleting inventor(s) named in the prior application serial no. ____, filed ____.

☐ **Microfiche Computer Program (Appendix)**

- ☐ page(s) of Sequence Listing
- ☐ computer readable disk containing Sequence Listing
- ☐ Statement under 37 C.F.R. §1.821(f) that computer and paper copies of the Sequence Listing are the same

☒ **Assignment Papers (assignment cover sheet and assignment documents)**

- ☐ A check in the amount of \$40.00 for recording the Assignment
- ☐ Charge the Assignment Recordation Fee to Deposit Account No. 13-4500, Order No. _____
- ☒ Assignment Papers filed in the parent application serial nos. 10/090,880.

☐ **Certification of chain of title pursuant to 37 C.F.R. §3.73(b)**

☐ **Priority is claimed under 35 U.S.C. §119 for:**
Application No(s). _____, filed _____, in _____ (country).

- ☐ Certified Copy of Priority Document(s) [_____]
 - ☐ filed herewith
 - ☐ filed in application Serial No. _____, filed _____
- ☐ English translation document(s) [_____]
 - ☐ filed herewith
 - ☐ filed in application Serial No. _____, filed _____

☐ **Priority is claimed under 35 U.S.C. §119(e) for:**
Provisional Application No. _____, filed _____.

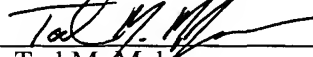
- ☒ Information Disclosure Statement
- ☐ Copy of [] cited references
- ☒ PTO Form-1449
- ☒ References cited in parent application serial nos. 10/090,880 filed 3/4/2002.
- ☐ Related Case Statement under 37 C.F.R. §1.98(a)(2)(iii)
- ☐ A copy of related pending U.S. Application(s) Serial No(s): , filed , respectively, is attached hereto.
- ☐ A copy of related pending U.S. Application(s) entitled, , filed to inventor(s) , respectively, is attached hereto.
- ☐ A copy of each related application(s) was submitted in parent application serial no. , filed .
- ☒ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☒ This is a ☒ continuation ☐ divisional ☐ continuation-in-part of prior application serial no. 10/090,880 filed 3/4/2002.
- ☒ A Preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application 10/090,880).
- ☒ Cancel claims 1-112 of this application and enter the preliminary amendment before calculating the filing fee.
- ☒ The status of the parent applications are as follows:
- ☐ A Petition for Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until .
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☒ No Petition for Extension of Time and Fee therefor are necessary in the co-pending parent applications.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the parent application to this application
- ☒ Amend the specification by inserting before the first line the sentence:
This is ☒ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. 10/090,880, filed March 4, 2002 which is incorporated herein by reference in its entirety.

I. CALCULATION OF APPLICATION FEE				
	Number Filed	Number Extra	Rate	Basic Fee \$750.00/375.00
Total Claims	20- 20 =	0x	\$18.00/ \$9.00	\$
Independent Claims	2- 3 =	0x	\$84.00/ \$42.00	\$ 0.00
<input type="checkbox"/> Multiple Dependent Claims		If marked, add fee of \$280.00 (\$140.00)		\$
TOTAL:				\$ 750.00

- ☐ Small entity status is or has been claimed. Reduced fees under 37 C.F.R. §1.9 (f) paid herewith \$_____.
- ☒ A check in the amount of \$750.00 in payment of the application filing fees is attached.
- ☐ Charge fee to Deposit Account No. 13-4500, Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for filing this application pursuant to 37 CFR §1.16, **including all extension of time fees pursuant to 37 C.F.R. § 1.17 for maintaining copendency** with the parent application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4024-4028US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: July 18, 2003

By: 

Tod M. Melgar
Registration No. 41,190

Correspondence Address:
MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile

PATENT

Docket No.: 4024-4028US1

Express Mail Label N . EV169421938US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Keith Kang
John Trezza

Serial No.: To Be Assigned

Group Art Unit: To Be Assigned

Filed: July 18, 2003

Examiner: To Be Assigned

For: OPTICAL FIBER FERRULE

EXPRESS MAIL CERTIFICATE

Express Mail Label No. **EV169421938US**

Date of Deposit: **July 18, 2003**

I hereby certify that the following attached paper(s) and/or fee

1. Utility Application and Application Fee Transmittal (in duplicate);
enclosing Specification (21 pages), claims (15 pages),
abstract (1 page), 19 sheets of formal drawings (FIGS. 1-24);
2. Preliminary Amendment;
3. copy of Declaration And Power Of Attorney For Patent Application;
4. Checks in the amounts of \$750.00;
5. Form 1449 (enclosing cited references) and Information Disclosure Statement;
6. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner Of Patents, BOX PATENT APPLICATION, P.O. Box 1450, Alexandria, VA. 22313.

Vivian King

(Typed or printed name of person
mailing paper(s) and/or fee)

(Signature of person mailing
paper(s) and/or fee)

CORRESPONDENCE ADDRESS:
MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154
(212) 758-4800/(212) 751-6849 Facsimile



27123

PATENT TRADEMARK OFFICE